

Section  
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BOX MISSING PARTS  
PATENT  
0505-0913P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Hajime TABATA et al. Conf.: 4547  
Appl. No.: 09/985,889 / Group:  
Filed: November 6, 2001 Examiner:  
For: COMMUNICATION SYSTEM FOR INDIVIDUALS

THIS IS NOT A NEW PATENT APPLICATION

LETTER SUBMITTING DOCUMENTS  
FOR COMPLETION OF AN APPLICATION

PURSUANT TO 37 C.F.R. § 1.53(f) and/or § 1.53(d)



BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, DC 20231

January 16, 2002

Sir:

The application papers for the above-identified application were originally filed on November 6, 2001 and the application was assigned Appl. No. 09/985,889.

DOCUMENTATION

- ☒ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration of the inventor(s) (☒ original ☐ photocopy), necessary for completing the filing requirements in connection with the above-identified application.
- ☐ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration that was attached to the specification at the time of execution. The attached specification is a true copy of the specification that was filed in the U.S. Patent and Trademark office on November 6,

2001-01-06 09:10:00

2001, including any amendments thereto (if applicable) filed on even date therewith.

- ☒ The undersigned hereby declares that "Attorney Docket No. 0505-0913P on page 1 of the attached inventors' Declaration, corresponds to Appl. No. 09/985,889, filed November 6, 2001, entitled "COMMUNICATION SYSTEM FOR INDIVIDUALS."
- ☒ Attached are nine (9) sheet(s) of substitute drawings which comply with 37 C.F.R. 1.84.
- ☒ Attached is a copy of the Notice to File Missing Parts of Nonprovisional Application.
- ☐ Attached is an English language translation of the above-identified application that was filed in a foreign language.
- ☐ See the attached Translator's Verification; or
- ☐ The undersigned states that the English translation attached hereto is a true and correct translation of the application as originally filed in a foreign language.
- ☐ Applicant claims small entity status under 37 C.F.R. § 1.27.
- ☒ Submitted concurrently herewith **under separate cover** for recording is an Assignment.

**FEES**

The Government Filing Surcharge(s) (37 C.F.R. § 1.16(e) and/or § 1.17(k)) and the basic Government Filing Fee(s) (37 C.F.R. § 1.16(a)-(d), if applicable) is/are attached hereto and calculated as follows:

- ☐ Basic Filing Fee(s): \$0.00 (37 C.F.R. § 1.16(a)-(d))
- ☒ The Government Filing Surcharge under 35 U.S.C. § 1.16(e)) for late filing of filing fee, oath and/or declaration:
- ☒ Large Entity - \$130.00
- ☐ Small Entity - \$ 65.00)
- ☐ The Government Filing Surcharge under 37 C.F.R. § 1.17(i)) for filing of translation of non-English Specification in the amount of \$130.00:
- ☐ was previously paid for concurrently with the filing of the application on .
- ☐ is attached hereto.
- ☐ No extension fee is required because the undersigned has not yet received the Notice to File Missing Parts of Nonprovisional Application. However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.
- ☐ Applicant hereby respectfully petitions for a ( ) month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of \$0.00 is attached hereto.

- ☒ Check(s) in the amount of \$130.00 to cover the basic filing fee(s), surcharge fee(s), and any extension of time fee(s) (if applicable) is/are enclosed.
- ☐ Please charge Deposit Account No. 02-2448 in the amount of \$0.00. A triplicate copy of this transmittal form is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By James M. Slattery <sup>By No. 32,334</sup>  
for James M. Slattery, #28,380

JMS/ndb  
0505-0913P

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

Attachments

(Rev. 12/03/01)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/985,889	11/06/2001	Hajime Tabata	0505-0913P

2292  
BIRCH STEWART KOLASCH & BIRCH  
PO BOX 747  
FALLS CHURCH, VA 22040-0747

CONFIRMATION NO. 4547

FORMALITIES LETTER



\*OC000000007113956\*

Date Mailed: 11/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

01/22/2002 MWOLDR1 00000360 09985889

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

DATE	TIME	NAME	AGE	SEX	RELATION	RESIDENCE	EDUCATION	EMPLOYMENT	REMARKS
1900	10:00	John	25	M	Head	123 Main St.	High School	Teacher	Married
1901	11:00	Mary	22	F	Wife	123 Main St.	High School	Homemaker	Married
1902	12:00	Robert	18	M	Son	123 Main St.	High School	Student	Married
1903	13:00	Elizabeth	15	F	Daughter	123 Main St.	High School	Student	Married
1904	14:00	William	12	M	Son	123 Main St.	High School	Student	Married
1905	15:00	Anna	10	F	Daughter	123 Main St.	High School	Student	Married
1906	16:00	Charles	8	M	Son	123 Main St.	High School	Student	Married
1907	17:00	Grace	6	F	Daughter	123 Main St.	High School	Student	Married
1908	18:00	Frank	4	M	Son	123 Main St.	High School	Student	Married
1909	19:00	Emily	3	F	Daughter	123 Main St.	High School	Student	Married
1910	20:00	James	2	M	Son	123 Main St.	High School	Student	Married
1911	21:00	Isabella	1	F	Daughter	123 Main St.	High School	Student	Married
1912	22:00	Thomas	0	M	Son	123 Main St.	High School	Student	Married
1913	23:00	Sarah	0	F	Daughter	123 Main St.	High School	Student	Married
1914	24:00	Michael	0	M	Son	123 Main St.	High School	Student	Married
1915	25:00	Charlotte	0	F	Daughter	123 Main St.	High School	Student	Married
1916	26:00	David	0	M	Son	123 Main St.	High School	Student	Married
1917	27:00	Elizabeth	0	F	Daughter	123 Main St.	High School	Student	Married
1918	28:00	William	0	M	Son	123 Main St.	High School	Student	Married
1919	29:00	Anna	0	F	Daughter	123 Main St.	High School	Student	Married
1920	30:00	Charles	0	M	Son	123 Main St.	High School	Student	Married
1921	31:00	Grace	0	F	Daughter	123 Main St.	High School	Student	Married
1922	32:00	Frank	0	M	Son	123 Main St.	High School	Student	Married
1923	33:00	Emily	0	F	Daughter	123 Main St.	High School	Student	Married
1924	34:00	James	0	M	Son	123 Main St.	High School	Student	Married
1925	35:00	Isabella	0	F	Daughter	123 Main St.	High School	Student	Married
1926	36:00	Thomas	0	M	Son	123 Main St.	High School	Student	Married
1927	37:00	Sarah	0	F	Daughter	123 Main St.	High School	Student	Married
1928	38:00	Michael	0	M	Son	123 Main St.	High School	Student	Married
1929	39:00	Charlotte	0	F	Daughter	123 Main St.	High School	Student	Married
1930	40:00	David	0	M	Son	123 Main St.	High School	Student	Married
1931	41:00	Elizabeth	0	F	Daughter	123 Main St.	High School	Student	Married
1932	42:00	William	0	M	Son	123 Main St.	High School	Student	Married
1933	43:00	Anna	0	F	Daughter	123 Main St.	High School	Student	Married
1934	44:00	Charles	0	M	Son	123 Main St.	High School	Student	Married
1935	45:00	Grace	0	F	Daughter	123 Main St.	High School	Student	Married
1936	46:00	Frank	0	M	Son	123 Main St.	High School	Student	Married
1937	47:00	Emily	0	F	Daughter	123 Main St.	High School	Student	Married
1938	48:00	James	0	M	Son	123 Main St.	High School	Student	Married
1939	49:00	Isabella	0	F	Daughter	123 Main St.	High School	Student	Married
1940	50:00	Thomas	0	M	Son	123 Main St.	High School	Student	Married
1941	51:00	Sarah	0	F	Daughter	123 Main St.	High School	Student	Married
1942	52:00	Michael	0	M	Son	123 Main St.	High School	Student	Married
1943	53:00	Charlotte	0	F	Daughter	123 Main St.	High School	Student	Married
1944	54:00	David	0	M	S				